

# Report

## Governance and Audit Committee

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### Part 1

Date: 26 October 2023

**Subject** Draft Work Programme

**Purpose** To report the details of this Committee's work programme.

**Author** Governance Officer

**Ward** General

**Summary** The purpose of a forward work programme is to help ensure Committee Members achieve organisation and focus on the undertaking of enquiries through the Governance and Audit Committee function. This report presents the current work programme to the Committee for information and details the items due to be considered at the Committee's next two meetings.

**Proposal** **The Committee is asked to endorse the proposed schedule for future meetings, confirm the list of people it would like to invite for each item, and indicate whether any additional information or research is required.**

**Action by** Governance and Audit Committee

**Timetable** Immediate

### Background

The purpose of a forward work programme is to help ensure Councillors achieve organisation and focus on the undertaking of enquiries through the Governance & Audit Committee function.

Attached at Appendix 1 is the forward work programme for this Committee. Below are the items scheduled to be presented at the Committee's meetings until June 2024.

The Audit of Financial Statements Report is being deferred to November in line with Audit Wales' timetable for completing the external audit.

The Lessons Learned paper will be brought to the meeting following the Committees' consideration of the Audit of Financial Statements Report, to allow time to reflect on the accounts and audit process once completed.

The Risk Management Policy is currently being reviewed in consultation with senior managers ahead of a report to next Committee.

Committee Members are asked to endorse this schedule, confirm the list of people they would like to invite for each item, and indicate whether any additional information or research is required.

**26 October 2023**

Verbal Update: Internal Audit Team

Internal Audit Plan – Progress (Quarter 2)

Treasury Management Report

SO24/Waiving of Contract SOs: Quarterly report reviewing Cabinet/CM urgent decisions or waiving Contract SOs (Quarter 2, July to September) – No SO24 reports considered by Cabinet or Cabinet Members during the reporting period

### **Comments of Chief Financial Officer**

There will be financial consequences for some of the reviews undertaken. These will be commented upon as the reports are presented. The preparing and monitoring of the work programme is done by existing staff for which budget provision is available.

### **Comments of Monitoring Officer**

There are no legal implications arising from this report.

### **Comments of Head of People, Policy and Transformation**

The sustainable development principle of the Wellbeing of Future Generations Act will need to be addressed in individual reports, along with any human resources implications.

### **Background Papers**

None.

### **Appendix 1**

Forward Work Plan; agenda set out in a table for each meeting.

***(Audit Committee to meet every other month unless circumstances dictate otherwise)***

### **23 November 2023 (Extra Committee)**

Statement of Accounts 2022/2023

Update from the Strategic Director of Social Services re the Unsound opinion for Children Services Safeguarding Childrens Money

Update from the Strategic Director of Social Services re the internal Audit of Adoption Allowances resulting in a Third Consecutive Unsatisfactory Opinion

Risk Management Policy

Audit of Financial Statements Report 2022/23

Governance and Audit Committee Annual Report

### **25 January 2024**

Internal Audit Plan – Progress (Quarter 3)

Corporate Risk Register Quarter 2

Audit Wales and Regulatory Bodies 6-month update

Capital and Treasury Management Strategy 2024/25
Internal Audit Unsatisfactory Audit Opinions (6 monthly report)
Audit Wales Annual Report on Grants Works 2020-21 and 2021-22 Draft
Lessons Learned 2022/23

<b>28 March 2024</b>
Corporate Risk Register (Quarter 3)
WAO Annual Report on Grants Works 2022-23
Annual Governance Statement (draft statement)
Member Development Self Evaluation Exercise
SO24/Waiving of Contract SOs: Quarterly report reviewing Cabinet/CM urgent decisions or waiving Contract SOs (Quarter 3)

<b>30 May 2024</b>
Appointment of Chairperson
Treasury Management Year End Report 2023/2024
Corporate Risk Register Quarter 4
SO24/Waiving of Contract SOs: Quarterly report reviewing Cabinet/CM urgent decisions or waiving Contract SOs (Quarter 4, January to March)
Audit Wales Annual Report on Grants Works 2023-2024 Draft
Internal Audit Annual Report 2022-2023
Internal Audit Annual Plan 2024-2025
Audit Wales Annual Audit Summary 2023
Audit Wales Annual Audit Plan 2024

## **Appendix 2**

Forward Work Plan; agenda set out in alternative format.